

Electronic Acknowledgement Receipt

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Application Number:	09685398
International Application Number:	
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Title of Invention:	SYSTEM AND METHOD FOR AUTOMATICALLY REBALANCING PORTFOLIOS BY SINGLE RESPONSE
First Named Inventor/Applicant Name:	James Richard Kraemer
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Filed (SB/08)	RSW920000107US1US1_Relate dIDS_11032010.pdf	613929	no	8
			2877843ff0df5ef035454166ae616d1a991a b13e		
Warnings:					
Information:					
2	Information Disclosure Statement (IDS) Filed (SB/08)	RSW920000107US1_IDS_State ment_11032010.pdf	11293	no	1
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Warnings:					
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3	NPL Documents	RSW920000107US1_Maurer_N PL.pdf	62272	no	2
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4	NPL Documents	RSW920000107US1US1_Efficie nt_Frontier_NPL.pdf	4467259	no	5
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5	NPL Documents	RSW920000107US1US1_Moder n_Portfolio_Theory_NPL.pdf	3745959	no	4
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6	NPL Documents	RSW920000107US1_Levitt_050 41999_NPL.pdf	95565	no	5
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8	NPL Documents	Application_No_09460608_OfficeAction_10042002.pdf	378924	no	9
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9	NPL Documents	Application_No_09460608_FinalOfficeAction_04082003.pdf	309765	no	8
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16	NPL Documents	Application_No_09685838_Nonfinal_Rejection_02122004.pdf	413798	no	11
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18	NPL Documents	Application_No_09685838_Final_Rejection_07052005.pdf	441850	no	9
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23	Fee Worksheet (PTO-875)	fee-info.pdf	30648	no	2
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.